

衝突礦產採購管理政策 Responsibility Minerals Procurement Policy

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我們遵循責任礦產倡議組織（Responsible Minerals Initiative, RMI）的政策，不購買來自剛果民主共和國及其周圍國家之衝突地區所生產之衝突金屬。

Apex Biotechnology Corp. follow the policy of the Responsible Minerals Initiative (RMI) not to purchase conflict minerals produced in Democratic Republic of Congo (DRC) and adjoining countries which is Conflict Affected and High Risk Areas (CAHRAs).

五鼎要求所屬供應鏈之廠商不使用來自衝突地區之衝突金屬。若來自衝突地區，必須產自第三方驗證通過之無衝突礦場。五鼎全力執行盡職調查，了解供應商礦產來源，以 RMI 之衝突礦產調查範本(CMRT)做為調查，要求供應商披露礦產來源及簽署不使用衝突礦產聲明書，遵守不使用衝突礦產的政策。

Apex Biotechnology Corp. requests supplier to understand the Responsibility Minerals Initiative, RMI policy and cooperate with us in the survey on the procurement process. Furthermore, Apex Biotechnology Corp. requests suppliers to procure minerals from the refiners/smelters approved by globally recognized frameworks such as RMI.

本公司支持且不使用衝突礦產，承諾以下相關事項：

Apex Biotechnology Corp. supports and does not use conflict minerals and commits to the following related matters:

1. 聲明所有提供給產品與生產過程皆為無衝突礦產之產品。

Declares that all products and production processes provided are conflict mineral-free products.

2. 依循經濟合作暨發展組織(OECD)之盡職調查指南，定期檢視物料來源，確保不使用：金(Au)、鉭(Ta)、鎢(W)、錫(Sn)等金屬並非透過無政府軍團或非法集團、高度風險之衝突區域(CAHRAs)開採取得之。

Follow the due diligence guidelines of the Organization for Economic Cooperation and Development (OECD) and regularly check the source of materials to ensure that: the metals of gold (Au), tantalum (Ta), tungsten (W), tin (Sn) are not used through obtained from mining by non-governmental military groups or unlawful military factions, or in Conflict Affected and High Risk Areas (CAHRAs).

3. 建立衝突礦產管理程序，考量 OECD Annex II 的所有風險，包括人權、衝突及妥善管理。

Establish Minerals Due Diligence Management Procedure, consideration of all risks listed in the OECD Annex II Model Supply Chain Policy, which can be broken down into three overarching criteria: Human Rights, Conflict, and Good Governance.

4. 盡最大努力進行風險控制與風險調查，拒絕使用來自於衝突地區的礦產物料，配合進行衝突礦產模板（CMRT）之調查與回覆。若供應商回覆的衝突礦產模板（CMRT）有疑慮時，再次檢視評估，同時至受衝突影響和高風險地區（CAHRA）資訊網確認之。

Do our efforts to risk identification, assessment and mitigation as possible, do not use mineral materials from conflict areas, and cooperate with the investigation and response of the Conflict Minerals Reporting Template (CMRT). If there are doubts about the Conflict Minerals Reporting Template (CMRT) responded by the supplier, review the assessment again and confirm it to the Conflict Affected and High-Risk Areas (CAHRA) website.

5. 承諾如發現原物料中有使用到來自於衝突地區的礦產物料時，立即要求供應商改善與移除。

Commitment to immediately require suppliers to improve and remove mineral materials from conflict areas if found to be used in raw materials.

6. 本公司若有違反前述之保證內容，將配合矯正措施與調查。

If our company violates the aforementioned warranty, we will cooperate with corrective action and investigations.

7. 此宣告書不因組織變更、代表人變更、公司變更或供應產品產地不同等其他因素，而影響其效力。

The effectiveness of this declaration will not be affected by other factors such as organizational changes, changes in representatives, changes in the company, or differences in the origin of the products supplied.

Apex Biotechnology Corp.



Thomas Y.S. Shen

Chairman & CEO